



14 February 2013

**Philippine Stock Exchange**  
Disclosures Department  
3/F, Tower One and Exchange Plaza  
Ayala Triangle, Ayala Avenue  
Makati City

Attention : **Ms. Janet Encarnacion**  
Head – Disclosures Department

Re : **REQUEST FOR EXTENSION TO SUBMIT QUARTERLY REPORT  
FOR THE QUARTER ENDING ON 31 DECEMBER 2012**

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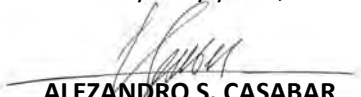
**Gentlemen:**

Roxas and Company, Inc. would like to request for the extension of deadline to submit its Quarterly Report for the quarter ending on 31 December 2012.

The Company will not be able to file its Quarterly Report (SEC Form 17-Q) for the period ending on 31 December 2012 on or before the 14 February 2013 deadline because it is still in the process of analyzing the information on its subsidiaries' business risks, financial conditions and results of operations. Without the required Management's Discussion and Analysis, RCI's quarterly report will be incomplete.

The Company undertakes to submit the report within five (5) calendar days after the prescribed deadline or upon submission of the report to the Securities and Exchange Commission, whichever is earlier. The Company understands that failure to comply with the undertaking may result to the imposition of applicable penalty/ies and/or sanction/s.

Very truly yours,

  
**ALEZANDRO S. CASABAR**

Corporate Information Officer/Compliance Officer

P W 0 0 0 0 0 8 3 4

R O X A S A N D C O M P A N Y , I N C .  
( F O R M E R L Y C A D P G R O U P  
C O R P O R A T I O N )

(Company's Full Name)

7 T H F L O O R C G B U I L D I N G  
1 0 1 A G U I R R E S T R E E T L E G A S P I  
V I L L A G E M A K A T I C I T Y

(Business Address: No. of Street City/Town/Province)

ATTY. ALEZANDRO S. CASABAR

810-8901

SEC Form 17-L

September 30  
Month Day  
Fiscal Year

Form Type

Month Day  
Annual Meeting

Secondary License Type, If Applicable

Department Requiring this Document

Amended Articles Number/Section

3,487

Total Amount of Borrowings

Total No. of Stockholders

Domestic Foreign

TO BE ACCOMPLISHED BY SEC PERSONNEL CONCERNED

File Number

LCU

Document I.D.

Cashier

STAMPS

Remarks = pls. Use black ink for scanning purposes

**SECURITIES AND EXCHANGE COMMISSION  
SEC FORM 17-L  
NOTIFICATION OF INABILITY TO FILE ALL OR  
ANY PORTION OF SEC FORM 17-A OR 17-Q**

Check One:

Form 17-A [  ]      Form 17-Q []

Period-Ended/Date of Required Filing: 31 December 2012/ 14 February 2013

Date of this Report: 13 February 2013

Nothing in this Form shall be construed to imply that the Commission has verified any information contained herein.

If this notification relates to a portion or portions of the filing checked above, identify the item(s) to which the notification relates: N/A

1. SEC Identification Number: 834      2. BIR Tax Identification No. 000-269-435.
  
3. **ROXAS AND COMPANY, INC.**  
Exact name of issuer as specified in its charter
  
4. Makati City, Philippines  
Province, country or other jurisdiction of incorporation
  
5. Industry Classification: \_\_\_\_\_ (SEC Use Only)
  
6. 7F, CG Bldg., 101 Aguirre St., Legaspi Village, Makati City  
Address of principal office Postal Code    1229
  
7. PLDT: (632) 810-89-01  
Issuer's telephone number, including area code
  
8. Former name, former address, and former fiscal year, if changed since last report.
  
9. Are any of the issuer's securities listed on a Stock Exchange?  
Yes []                      No [  ]

If yes, disclose the name of such Stock Exchange and the class of securities listed therein:

Securities registered with the Philippine Stock Exchange:

Securities registered:	No. of shares
Class A, common shares	2,911,885,870

### Part I - Representations

If the subject report could not be filed without unreasonable effort or expense and the issuer seeks relief pursuant to SRC Rule 17-1, the following should be completed. (Check box if appropriate)

- (a) The reasons described in reasonable detail in Part II of this Form could not be estimated without unreasonable effort or expense. [ ]
- (b) The subject amended annual report on SEC Form 17-A, or portion thereof, will be filed on or before the fifteenth calendar day following the prescribed due date [ ]; or the subject quarterly report on SEC Form 17-Q, or portion thereof, will be filed on or before the fifth (5<sup>th</sup>) day following the prescribed due date. []
- (c) The accountant's statement or other exhibit required by paragraph 3 of SRC Rule 17-1 has been attached if applicable. [ ]

### Part II - Narrative

State below in reasonable detail the reasons why SEC Form 17-A or SEC Form 17-Q, or portion thereof, could not be filed within the prescribed period. (Attach additional sheets if needed.)

The Company will not be able to file its Quarterly Report (SEC Form 17-Q) for the period ending on 31 December 2012 on or before the 14 February 2013 deadline because it is still in the process of analyzing the information on its subsidiaries' business risks, financial conditions and results of operations. Without the required Management's Discussion and Analysis, RCI's quarterly report will be incomplete.

The Company undertakes to submit the report within five (5) calendar days after the prescribed deadline.

### Part III - Other Information

- (a) Name, address and telephone number, including area code, and position/title of person to contact in regard to this notification:

**Atty. Alejandro S. Casabar**  
Legal Services Manager/Assistant Corporate Secretary  
Compliance Officer / Corporate Information Office  
7<sup>th</sup> Floor, Cacho-Gonzales Bldg.  
101 Aguirre Street, Legaspi Village  
1229 Makati City, Metro Manila  
Tel. No.: 810-8901 to 06

- (b) Have all other periodic reports required<sub>2</sub> under Section 17 of the Code and under

Sections 26 and 141 of the Corporation Code of the Philippines during the preceding 12 months, or for such shorter period that the issuer was required to file such report(s), been filed? If the answer is no, identify the report(s).

Yes  No  Reports: .....

- (c) Is it anticipated that any significant change in results of operations from the corresponding period for the last fiscal year will be reflected by the earnings statements to be included in the subject report or portion thereof?

Yes  No

If so, attach an explanation of the anticipated change, both narratively and quantitatively, and, if appropriate, state the reasons why a reasonable estimate of the results cannot be made.

### SIGNATURE

Pursuant to the requirements of the SRC Rule 17-1, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**ROXAS AND COMPANY, INC.**

By:

  
**ALEZANDRO S. CASABAR**  
Compliance Officer

13 February 2013.